

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.:

09/841,299

Confirmation No.:

3896

Filing Date:

April 24, 2001

Inventors:

de Rouffignac et al.

Title:

IN SITU THERMAL

PROCESSING OF A HYDROCARBON

CONTAINING FORMATION TO INCREASE A POROSITY

OF THE FORMATION

Examiner:

G. A. Suchfield

Art Unit:

3672

Atty. Dkt. No.:

5659-02500/EBM

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

DATE OF DEPOSIT:

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Alexandria

REQUEST FOR SIGNED FORM PTO 1449 AND ELECTRONIC INFORMATION DISCLOSURE STATEMENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RECEIVE

DEC 0 4 2003

GROUP 3600

Sir:

Applicant notes that the Examiner has not acknowledged receipt of all of the Information Disclosure Statements filed for the above-captioned application. Applicant has attached the unacknowledged Form PTO-1449. The form list references S05 and T01-T54 (sent on July 29, 2003; received by the PTO on July 31, 2003 as indicated on the enclosed copy of the return postcard).

Applicant has attached the unacknowledged electronic Information Disclosure Statements. The statements include U.S. Patent Documents reference numbers 1-4 (sent to and received by the PTO on June 23, 2003, as indicated on the enclosed acknowledgement receipt EFS ID 42304); and U.S. Patent Documents reference numbers 1-10 (sent to and received by the

Inventors: de Rouffignac et al. Appl. Ser. No.: 09/841,299 Atty. Dkt. No.: 5659-02500

PTO on September 5, 2003, as indicated on the enclosed acknowledgement receipt EFS ID 47177).

Applicant respectfully requests signed, initialed copies of Form PTO-1449 and the electronic Information Disclosure Statements, previously submitted for the above-captioned application.

Respectfully submitted,

David W. Quimby Reg. No. 39,338

Attorney for Applicant

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Ph: (512) 853-8800 Fax: (512) 853-8801

Date: November 25, 2003

Form PTO-1449 (modified) List of Patents and Publications, For Applicant's Information Disclosure Statement (Use several sheets if necessary)

ATTY. DKT. NO. 5659-02500

APPLICANT: de Rouffignac et al.

SERIAL NO. 09/841,299 GROUP: 3672

DEC 0 4 2003

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

									
EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	S5	2,857,002	10/21/1958	Pevere et al.		l			
			FOREIGN PATENT	DOCUMENTS			· L		
EXAM. NITIALS	REF. DE	S. DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO		
TO		1836876	12/30/1994	SU			Y		
		OTHER ART	(Including Author, Ti	tle, Date, Pertinent l	Pages, Etc.)				
	T02	Burnham, Alan, K. "Oil S January 27, 1995, (23 pag		ence of timing and cor	mposition on	temperature	and heating rate"		
	T03	Burnham et al. "A Possib		e/Alkane Production i	n Oil Shale I	Retorting, (7	pages).		
	T04	Campbell, et al., "Kinetic	s of oil generation from	Colorado Oil Shale"	IPC Busines	s Press, Fuel	, 1978, (3 pages).		
	T05	Cummins et al. "Thermal U.S. Government Printing	Degradation of Green F Office, 1972, (pages 1	River Kerogen at 150°	° to 350 °C",	Report of In	vestigations 7620		
	Took, et al. "The Composition of Green River Shale Oils", United Nations Symposium on the Devel Utilization of Oil Shale Resources, Tallinn, 1968, (pages 1-23). Too Hill et al., "The Characteristics of a Low Temperature in situ Shale Oil" American Institute of Minit Metallurgical & Petroleum Engineers, 1967 (pages 75-90) Too Dinneen, et al. "Developments in Technology for Green River Oil Shale" United Nations Symposium Development and Utilization of Oil Shale Resources, Tallinn, 1968, (pages 1-20).					Development and			
						Mining,			
						osium on the			
	Т09	De Rouffignac, E. "In Situages).				nmary of the	Swedish Data, (4		
	T10	Dougan, et al. "The Poten Colorado", Quarterly of the			iceance Cree	k Basin of N	orthwestern		
	Tll	Hill et al. "Direct Product Development, 1967, Volu	ion of Low Pour Point I		il" I&EC Pro	duct Resear	ch and		
	T12	Yen et al., "Oil Shale" De 187-198).	velopments in Petroleur	m Science, 5, Elsevic	r Scientific P	ublishing Co)., 1976 (pages		
	T13								
	T14	Salomonsson G., SSAB report, "The Lungstrom In Situ-Method for Shale Oil Recovery, 1950 (28 pages)							
T15 "Swedish shale oil-Production method in Sweden," Organisation for European Economic Co-op (70 pages).					peration, 1952,				
	T16	SSAB report, "Kvarn Torp" 1958, (36 pages).							
	T17	SSAB report, "Kvarn Torp" 1951 (35 pages).							
	T18	SSAB report, "Summary s	study of the shale oil wo	orks at Narkes Kvarnt	orp" (15 pag	es).			
	Vogel et al. "An Analog Computer for Studying Heat Transfrer during a Thermal Recovery Process," Petroleum Transactions, 1955 (pages 205-212).								
	T20	"SKIFEROLJA GENOM pages)		SKIFFERBERGET,"	Faxin Depar	rtment och N	lamder, 1941, (3		

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (1	PE ATTY. DKT. NO. 5659-02500 SERIAL NO. 09/841,299
List of Patents and I	Publications
For Applicant's Info Disclosure Statemer	
(Use several sheets	if necessary) FILING DATE: April 24, 2001
T21	"Aggregleringer och ransoneringen grunder", Av director E.F.Cederlund I Statens livesmedelskonmmission (1page).
T22	Ronnby, E. "KVARNTORP-Sveriges Storsta skifferoljeindustri," 1943, (9 pages) GROUP 36
Т23	SAAB report, "The Swedish Shale Oil Industry," 1948 (8 pages).
T24	Gejrot et al., "The Shale Oil Industry in Sweden," Carlo Colombo Publishers-Rome, Proceedings of the Fourth World Petroleum Congress, 1955 (8 pages)
Т25	Hedback, T. J., The Swedish Shale as Raw Material for Production of Power, Oil and Gas," XIth Sectional Meeting World Power Conference, 1957 (9 pages)
T26	SAAB, "Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand", 1955 Vol. 1, (141 pages) English
T27	SAAB, "Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand-Figures", 1955 Vol. 2, (146 pages) English.
T28	"Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand-Memorandum re: tests", 1955 Vol. 3, (256 pages) English.
T29	Helander, R.E., "Santa Cruz, California, Field Test of Carbon Steel Burner Casings for the Lins Method of Oil Recovery", 1959 (38 pages) English.
T30	Helander et al., Santa Cruz, California, Field Test of Fluidized Bed Burners for the Lins Method of Oil Recovery" 1959, (86 pages) English.
T31	SSAB report, "Bradford Residual Oil, Athabasa Ft. McMurray" 1951, (207 pages), partial translation.
T32	"Lins Burner Test Results-English" 1959-1960
Т33	SSAB "Annual Reports, SSAB Laboratory, Address Annually Issues-Shale and Ash, Oil, Gas, Waste Water, Analytical", 1953-1954, (166 pages). Swedish
T34	SSAB report, "Financial Matter, Swedish taxes, etc.," 1960-1961 (37 pages). Swedish
T35	SSAB report, "Cost For Mining," 1959-1979 (13 pages). Swedish
Т36	SSAB report, "Cost Comparison of Mining and Processing of Shale and Dolomite Using Various Production Alternatives", 1960, (64 pages). Swedish
T37	SSAB report, "Assessment of Future Mining Alternatives of Shale and Dolomite," 1962, (59 pages) Swedish.
Т38	SSAB report. "Kartong 2 Shale: Ljungstromsanlaggningen" (104 pages) Swedish.
T39	SAAB, "Photos", (18 pages).
T40	SAAB report, "Swedish Geological Survey Report, Plan to Delineate Oil shale Resource in Narkes Area (near Kvarntorp)," 1941 (13 pages). Swedish.
Т41	SAAB report, "Recovery Efficiency," 1941, (61 pages). Swedish.
T42	SAAB report, "Geologic Work Conducted to Assess Possibility of Expanding Shale Mining Area in Kvarntorp; Drilling Results, Seismic Results," 1942 (79 pages). Swedish.
T43	SSAB report, "Ojematinigar vid Norrtorp," 1945 (141 pages).
T44	SSAB report, "Inhopplingschema, Norrtorp II 20/3-17/8", 1945 (50 pages). Swedish.
T45	SSAB report, "Secondary Recovery after LINS," 1945 (78 pages)
Т46	SSAB report, "Maps and Diagrams, Geology," 1947 (137 pages). Swedish.
T47	SSAB report, "Styrehseprotoholl," 1943 (10 pages). Swedish.
T48	SSAB report, "Early Shale Retorting Trials" 1951-1952, (134 pages). Swedish.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

	PE			
Form PTO-1449 (n		ATTY. DKT. NO. 5659-02500	SERIAL NO. 09/841,299	
List of Patents and I	ublications		1	
For Applicant's Info	rmation 5	APPLICANT: de Rouffignac et al.	GROUP: 3672	
Disclosure Statemer			ł	
(Use several sheets i	it necessary 🕰	FILING DATE: April 24, 2001	,	
T49	SSAB report, Analysad Lujur	nstrom Oil and its Use as Liquid Fuel," Thesis	by E. Pals, 1949 (83 pages).	
	Swedish.	•		
T50	SSAB report, "Environmental St	ulphur and Effect on Vegetation," 1951 (50 pa	ges). Swedish.	
T51	T51 SSAB report, "Tar Sands", Vol.135 1953 (20 pages, pages 12-15 translated). Swedish.			
T52	T52 SSAB report, "Assessment of Skanes Area (Southern Sweden) Shales as Fuel Source," 1954 (54 pages). Sweden			
T53	SSAB report, "From as Utre Dn	Text Geology Reserves," 1960 (93 pages). Sw	edish.	
T54	SSAB report, "Kvarntorps-Envir	ronmental Area Asessment," 1981 (50 pages).	Swedish.	

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE.

de Rouffignac et al. Shell Oil Company

INVENTORS:

April 24, 2001

FILING DATE:

TITLE:

SERIAL NO.: ASSIGNEE:

09/841,299

IN SITU THERMAL PROCESSING OF A HYD

CONTAINING FORMATION TO INCREASE A POROSITY OF THE FORMATION

5659-02500 ATTORNEY DOCKET:

PTO 1449 listing references S5 and T1-T54 (3 pages) with accompanying references; 3) A Return acknowledge receipt of the following: 1) Information Disclosure Statement (1 page); 2) Form The date stamp of the mail room of the U.S. Patent and Trademark Office hereon will Postcard.

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Via First Class Mail

DEC 0 4 2003 GROUP 3600'

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO INCREASE A POROSITY OF THE FORMATION

Application Number:

09/841299

09/841299

Confirmation Number:

3896

First Named Applicant:

Eric de Rouffignac

Attorney Docket Number: 5659-02500

Art Unit:

3672

Examiner:

Search string:

George A Suchfield

(4931171 or 4737267 or 4384948 or 3090700 5. 3244231 or 3223166 or 3947656 or 3165154 or 4458757).p**GROUP 3600**

RECENTE

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	4931171	1990-06-05	Piotter	<u> </u>		
	2	4737267	1988-04-12	Pao et al.			
	3	4384948	1983-05-24	Barger			
	4	3593790	1971-07-20	Herce			
	5	3497000	1970-02-24	Hujsak et al.			
	6	3244231	1966-04-05	Grekel et al.			
	7	3223166	1965-12-14	Hunt et al.			
	8	3947656	1976-03-30	Lodi			
	9	3165154	1965-01-12	Santourian	<u> </u>		
	10	4458757	1984-07-10	Bock et al.			

Signature

Examiner Name	Date

UNITED STATES PATENT AND TRADEMARK OFFICE **ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1 Stylesheet Version v1.1.1

> Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO INCREASE A POROSITY OF THE **FORMATION**

Submission Type:

Information Disclosure Statement

Application Number:

09/841299

09/841299

DEC 0 4 2003 GROUP 3600

EFS ID:

47177

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	3896
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant:

Eric de Rouffignac

Attorney Docket Number: 5659-02500

Timestamp:

2003-09-05 16:36:07 EDT

From:

us

File Listing:

Doc. Name	File Name	Size (Bytes)	
us-ids	el575-581-usidst.xml	2783	
us-ids	us-ids.dtd	7763	
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package-data	el575-581-pkda.xml	2049	
package-data	package-data.dtd	27025	
package-data	us-package-data.xsl	19263	
	70909		

Message Digest:

c2e0fc2c879e5548ab9e937ed5f3e3fd94622dec

Digital Certificate Holder

Name:

cn=Eric B. Meyertons,ou=Registered Attorneys,ou=Patent and Trademark

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO INCREASE A POROSITY OF THE FORMATION

Application Number:

09/841299

09/841299

Confirmation Number:

3896

First Named Applicant:

Eric de Rouffignac

Attorney Docket Number: 5659-02500

Art Unit:

3672

Examiner:

George A Suchfield

Search string:

(3026940 or 3947683 or 3285335 or 3456721).pn.

RECFN/C DEC 0 4 2003 GROUP 3600

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3026940	1962-03-27	Spitz			1
	2	3947683	1976-03-30	Schultz et al.			
	3	3285335	1966-11-15	Reistle			
	4	3456721	1969-07-22	Smith			

Signature

Examiner Name	Date	

UNITED STATES PATENT AND TRADEMARK OFFICE **ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1 Stylesheet Version v1.1.1

> Title of Invention

IN SITU THERMAL PROCESSING OF A HYDROCARBON CONTAINING FORMATION TO INCREASE A POROSITY OF THE **FORMATION**

Submission Type:

Information Disclosure Statement

Application Number:

09/841299

09/841299

EFS ID:

42304

Server Response:

Confirmation Code	Message
11	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	3896
IISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant:

Eric de Rouffignac

Attorney Docket Number: 5659-02500

Timestamp:

2003-06-23 18:24:20 EDT

From:

us

File Listing:

Doc. Name	File Name	Size (Bytes)	
us-ids	S1-S4-usidst.xml	1578	
us-ids	us-ids.dtd	7763	
us-ids	us-ids.xsl	12026	
package-data	S1-S4-pkda.xml	1875	
package-data	package-data.dtd	27025	
package-data	us-package-data.xsl	19263	
	69530		

Message Digest:

1369918938c0777f5948e3f060ed6ba77e64f16b

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Name:

cn=Eric B. Meyertons,ou=Registered

Attorneys, ou=Patent and Trademark